Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09509591 Patent No. 7,011,976 B1 **TRANSMITTAL** Filing Date Issue Date March 14, 2006 First Named Inventor **FORM** Andrew S. LITTLE Art Unit 1633 Examiner Name Michael D. Burkhart (to be used for all correspondence after initial filing)

Total Number of Pages in This Submission		8 pages	Attorney Docket Numb	er 3802-147	-27 NATL				
ENCLOSURES (Check all that apply)									
Amend  Extens  Expres	sion of Time ss Abandonr	ed eclaration(s)		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revoc  Change of Corresponden  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	ce Address	After Appe of Appe (Appe (Appe Other below 1. Request 2. Exhibit 1	for Certificate of Correction te of Correction (PTO/SB/44)	O	
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Landscape Table on CD  Remarks  The Commissioner is authorized to charge any deficiencies in fees and credit any overpayment of fees to Deposit Account No. 07-1896. A duplicate copy is provided.  Customer No. 29585  Certificate  MAY 0 9 2006  TURE OF APPLICANT, ATTORNEY, OR AGENT of Correction							
	Reply to M	issing Parts FR 1.52 or 1.53			TORNEY, (	OR AGENT	MAY 0 9 2006		
Firm Name	Reply to M under 37 C	issing Parts FR 1.52 or 1.53	ATURE (				MAY 0 9 2006 of Correction		
	DLA Pip	SIGN/ SIGN/ eer Rudnick Gray	Cary US L	OF APPLICANT, AT	t, Suite 800, S		MAY 0 9 2006 of Correction		
Firm Name Signature Printed name	DLA Pip	SIGN/ SIGN/ Per Rudnick Gray Judge, Telepho	Cary US L	DF APPLICANT, AT LP, 153 Townsend Stree	t, Suite 800, S 36-2501 Reg. No.	ean Francisco, C	MAY 0 9 2006 of Correction		
Firm Name Signature Printed name Date	DLA Pip Linda R May 2, 3	SIGN/ SIGN/ Per Rudnick Gray Judge, Telepho  2006	CERTIFIC being facsinvelope ad	DF APPLICANT, AT  LP, 153 Townsend Stree  2 36-2586, Facsimile: 415-8  CATE OF TRANSMI  imile transmitted to the Use diversed to: Commissioner	t, Suite 800, S 36-2501  Reg. No.  SSION/MA	42,702  ILING sited with the U	MAY 0 9 2006 of Correction		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DOCKET NO. 3802-147-27 NATL

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Andrew S. LITTLE, et al.

PATENT NO.:

7,011,976 B1

**ISSUE DATE:** 

March 14, 2006

FOR:

0 5 2006

COMPOSITIONS AND METHODS FOR ENHANCED

EXPRESSION OF RECOMBINANT POLYPEPTIDES FROM A SINGLE VECTOR USING A PEPTIDE CLEAVAGE SITE

#### REQUEST FOR CERTIFICATE OF CORRECTION

ATTN: CERTIFICATE OF CORRECTIONS BRANCH COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

The following is a request for a Certificate of Correction in Serial Number 09/509,591, now Patent Number 7,011,976 B1.

A Certificate of Correction under 35 U.S.C. §254 and 35 U.S.C. §255 is respectfully requested in the above-identified patent.

The patent was issued in the name of Calydon Inc., however an assignment was recorded in the United States Patent and Trademark Office, assigning the entire interest to Cell Genesys, Inc.

Exhibit 1 will show that a blanket assignment between Calydon, Inc. and Cell Genesys, Inc. was recorded on December 17, 2001 on Reel/Frame 012243/0012. Line item 16 illustrates the assignment of this specific patent number on Reel/Frame 012243/0012.

The error is ascribed to the Patent Office so therefore no fee is due. The requested corrections are attached on Form PTO/SB/44.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP

Linda R. Judge

Registration No. 42,702

153 Townsend Street, Suite 800 San Francisco, California 94107 Telephone No. (415) 836-2586 Facsimile No. (415) 836-2501

# United States Patent and Trademark Office





### Assignments on the Web > Patent Query

## **Patent Assignment Abstract of Title**

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

**Total Assignments: 3** 

Patent #: 7011976

**Issue Dt:** 03/14/2006

**Application #:** 09509591

Filing Dt: 06/02/2000

Inventors: ANDREW S. LITTLE, HENRY G. LAMPARSKI, DANIEL R. HENDERSON, ERIC R. SCHUUR

Title: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ALPHA-FETOPROTEIN AND METHODS OF

**USE THEREOF** 

Assignment: 1

Reel/Frame: 011350/0643

Recorded: 12/05/2000

Pages: 9

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: LITTLE, ANDREW S.

**Exec Dt:** 10/23/2000

LAMPARSKI, HENRY G. HENDERSON, DANIEL R. Exec Dt: 10/15/2000 Exec Dt: 10/06/2000

SCHUUR, ERIC R.

Exec Dt: 10/26/2000

Assignee: CALYDON, INC.

1324 CHESAPEAKE TERRACE

SUNNYVALE, CALIFORNIA 94089

Correspondent: MORRISON & FOERSTER LLP

CATHERINE M. POLIZZI 755 PAGE MILL ROAD

PALO ALTO, CA 94304-1018

Assignment: 2

Reel/Frame: 011648/0035

**Recorded:** 04/10/2001

Pages: 15

Conveyance: SECURITY AGREEMENT

Assignor: CALYDON, INC.

Exec Dt: 03/30/2001

Assignee: PERSEUS CAPITAL, L.L.C.

SUITE 900

2099 PENNSYLVANIA AVENUE, N.W.

WASHINGTON, DISTRICT OF COLUMBIA 20006

Correspondent: ARNOLD & PORTER

SYREETA ANDERSON 555 12TH STREET, N.W.

**SUITE 1226.4** 

WASHINGTON, D.C. 20004-1202

Assignment: 3

Reel/Frame: 012243/0012

**Recorded:** 12/17/2001

Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: CALYDON, INC

Exec Dt: 11/05/2001

Assignee: CELL GENESYS, INC

IP DEPARTMENT

342 LAKESIDE DRIVE

FOSTER CITY, CALIFORNIA 94404

**Correspondent:** CELL GENESYS, INC.

LINDA R. JUDGE

IP DEPARTMENT 342 LAKESIDE DRIVE

FOSTER CITY, CA 94404

Search Results as of: 04/18/2006 02:42 PM

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#### Assignments on the Web > Patent Query

### **Patent Assignment Details**

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Reel/Frame: 012243/0012

**Recorded:** 12/17/2001

Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Total properties: 25** 

Title: TISSUE-SPECIFIC ENHANCER ACTIVE IN PROSTATE

2 Patent #: 5648478 Issue Dt: 07/15/1997 Application #: 08380916 Filing Dt: 01/30/1995

Title: TISSUE-SPECIFIC ENHANCER ACTIVE IN PROSTATE

3 Patent #: 5698443 Issue Dt: 12/16/1997 Application #: 08495034 Filing Dt: 06/27/1995

Title: TISSUE SPECIFIC VIRAL VECTORS

4 Patent #: 5871726 Issue Dt: 02/16/1999 Application #: 08669753 Filing Dt: 06/26/1996

Title: TISSUE SPECIFIC AND TUMOR GROWTH SUPPERSSION BY ADENOVIRUS COMPRISING

PROSTATE SPECIFIC ANTIGEN

5 Patent #: 5783435 Issue Dt: 07/21/1998 Application #: 08692759 Filing Dt: 08/06/1996

Title: PROSTATE CANCER DRUG SCREENING

6 Patent #: 6057299 Issue Dt: 05/02/2000 Application #: 08721690 Filing Dt: 09/27/1996

Title: TISSUE-SPECIFIC ENHANCER ACTIVE IN PROSTATE

7 Patent #: 6051417 Issue Dt: 04/18/2000 Application #: 08906192 Filing Dt: 08/04/1997

Title: PROSTATE CANCER DRUG SCREENING USING HKLK2 ENHANCER

8 Patent #: 6197293 Issue Dt: 03/06/2001 Application #: 09033333 Filing Dt: 03/02/1998

Title: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ANDROGEN RECEPTOR AND

METHODS OF USE THEREOF

9 Patent #: 6254862 Issue Dt: 07/03/2001 Application #: 09033428 Filing Dt: 03/02/1998

Title: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ALPHA-FETOPROTEIN AND METHODS

OF USE THEREOF

Title: ADENOVIRUS VECTORS CONTAINING HETEROLOGOUS TRANSCRIPTION REGULATORY

**ELEMENTS AND METHODS OF USING SAME** 

11 Patent #: 6136797 Issue Dt: 10/24/2000 Application #: 09077891 Filing Dt: 08/27/1998

Title: PHOSPHOLIPID DERIVATIVES OF PHOSPHONO-CARBOXYLIC ACIDS, THE PRODUCTION OF SAID

DERIVATIVES AND THE USE OF SAID DERIVATIVES AS ANTIVIRAL MEDICAMENTS

Publication #: <u>US20030044383</u> Pub Dt: 03/06/2003

Title: TISSUE SPECIFIC ADENOVIRAL VECTORS

13 Patent #: 6900049 Issue Dt: 05/31/2005 Application #: 09392822 Filing Dt: 09/09/1999

Publication #: US20010053352 Pub Dt: 12/20/2001

Title: ADENOVIRUS VECTORS CONTAINING CELL STATUS-SPECIFIC RESPONSE ELEMENTS AND

METHODS OF USE THEREOF

Title: METHODS OF ENHANCING EFFECTIVENESS OF THERAPEUTIC VIRAL IMMUNOGENIC AGENT

ADMINISTRATION

Title: TARGET CELL-SPECIFIC ADENOVIRAL VECTORS CONTAINING E3 AND METHODS OF USE

THEREOF

Title: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ALPHA-FETOPROTEIN AND METHODS

OF USE THEREOF

Title: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ANDROGEN RECEPTOR AND

METHODS OF USE THEREOF

18 Patent #: NONE Issue Dt: Application #: 09732169 Filing Dt: 12/06/2000

Publication #: <u>US20020068049</u> Pub Dt: 06/06/2002

**Title:** Tissue specific adenoviral vectors

19 Patent #: 6852528 Issue Dt: 02/08/2005 Application #: 09814292 Filing Dt: 03/21/2001

Publication #: US20020120117 Pub Dt: 08/29/2002

Title: HUMAN AND MOUSE UROPLAKIN GENE TRANSCRIPTIONAL REGULATORY ELEMENTS

20 Patent #: 6692736 Issue Dt: 02/17/2004 Application #: 09814351 Filing Dt: 03/21/2001

Publication #: <u>US20030148520</u> Pub Dt: 08/07/2003

Title: CELL-SPECIFIC ADENOVIRUS VECTORS COMPRISING AN INTERNAL RIBOSOME ENTRY SITE

21 Patent #: 6911200 Issue Dt: 06/28/2005 Application #: 09814357 Filing Dt: 03/21/2001

Publication #: US20030068307 Pub Dt: 04/10/2003

Title: METHODS OF TREATING NEOPLASIA WITH COMBINATION OF TARGET-CELL SPECIFIC

ADENOVIRUS, CHEMOTHERAPY AND RADIATION

22 Patent #: NONE Issue Dt: Application #: 09861682 Filing Dt: 05/21/2001

Publication #: <u>US20020198164</u> Pub Dt: 12/26/2002

Title: Tissue-specific enhancer active in prostate

23 Patent #: 6916918 Issue Dt: 07/12/2005 Application #: 09875228 Filing Dt: 06/05/2001

Publication #: US20020136707 Pub Dt: 09/26/2002

Title: HUMAN GLANDULAR KALLIKREIN ENHANCER, VECTORS COMPRISING THE ENHANCER AND

METHODS OF USE THEREOF

24 Patent #: 6673614 Issue Dt: 01/06/2004 Application #: 09894510 Filing Dt: 06/27/2001

**Publication #:** US20020015947 **Pub Dt:** 02/07/2002

Title: RAPID METHODS AND KITS FOR DETECTION AND SEMI-QUANTITATION OF ANTI-

ADENOVIRUS ANTIBODY

25 Patent #: 6585968 Issue Dt: 07/01/2003 Application #: 09898883 Filing Dt: 07/02/2001

**Publication #:** <u>US20020164799</u> **Pub Dt:** 11/07/2002

Title: ADENOVIRUS VECTORS SPECIFIC FOR CELLS EXPRESSING ALPHA-FETOPROTEIN AND

Exec Dt: 11/05/2001

METHODS OF USE THEREOF

#### **Assignor**

1 CALYDON, INC

## Assignee

1 CELL GENESYS, INC

IP DEPARTMENT

342 LAKESIDE DRIVE

FOSTER CITY, CALIFORNIA 94404

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(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

CERTIFICATE OF CORRECTION	
Page <u>1</u> of <u>1</u>	_
PATENT NO. : 7,011,976 B1	
APPLICATION NO.: 09/509,591	
ISSUE DATE : March 14, 2006	
INVENTOR(S) Andrew S. LITTLE et al.	
It is certified that an error appears or errors appear in the above-identified patent and that said Letters Pater is hereby corrected as shown below:	nt
On page 1, please remove "(73) Assignee: Calydon, Inc., Sunnyvale, CA (US)"	
and insert (73) Assignee: Cell Genesys, Inc., South San Francisco, CA (US)	

MAILING ADDRESS OF SENDER (Please do not use customer number below):

153 Townsend St., Suite 800 San Francisco, CA 94107

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.